

Att: Deposit Account Branch. (Total 2pages).

**Kazu Kubotera**

571-273-6500

**From:** DaAdmin [DaAdmin@USPTO.GOV]  
**Sent:** October 19 2005 年 Wednesday 1:14 PM  
**To:** kkazu@verizon.net  
**Subject:** Re: Mistake on Monthly Statement [X200509277710]

*This goes to*

please fax this to ATTN: deposit accounts branch. the fax number is 571-273-6500.

*Research*

-----Original Message-----

**From:** Kazu Kubotera  
**Sent:** Wednesday, October 19, 2005 1:12 PM  
**To:** DaAdmin  
**Subject:** RE: Mistake on Monthly Statement [X200509277710]

*Rebuild  
Balance*

As of today, I have not received any information from PTO.  
Besides, I received monthly statement today, and found that the balance is still not corrected.

Your prompt response will be greatly appreciated.

Kazunao Kubotera, Ph.D.

Takeuchi & Kubotera, LLP  
200 Daingerfield Rd.,  
Suite 202  
Alexandria, VA 22314  
Tel: 1-703-684-8777  
Fax: 1-703-684-1390

*10,687,804*

-----Original Message-----

**From:** DaAdmin [mailto:DaAdmin@USPTO.GOV]  
**Sent:** Tuesday, September 27, 2005 2:56 PM  
**To:** kkazu@verizon.net  
**Subject:** Re: Mistake on Monthly Statement [X200509277710]

Dear Kazu Kubotera,  
Thank you for contacting the Deposit Account Branch. You should receive a response within 2-3 business days. The tracking number for your inquiry is X200509277710.  
Deposit Account Branch (571) 272-6500

-----Original Message-----

**From:** Kazu Kubotera  
**Sent:** Tuesday, September 27, 2005 2:55 PM  
**To:** DaAdmin  
**Subject:** Mistake on Monthly Statement

To whom it may concern;

Account No. 200060

I received the monthly statement dated on 8/31/2005.

There are two mistakes on the statement.

1. On 08/01/05, control No. 1, \$1020.00 was charged on B0306T for fee code 1253. However, the response needs only one month extension. Therefore, the fee is supposed to be \$120.00.

2. On 08/01/05, control No. 2, \$1020.00 was charged on B0306T for fee code 1253. This appears to be duplicate charge, and should be canceled.

Please confirm the mistake, and refund money to the account.

Thanks.

Kazunao Kubotera, Ph.D.

Takeuchi & Kubotera, LLP  
200 Daingerfield Rd.,  
Suite 202  
Alexandria, VA 22314  
Tel: 1-703-684-9777  
Fax: 1-703-684-1390



SPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Takahito Suzuki  
Title: OPTICAL SEMICONDUCTOR DEVICE HAVING  
SEMICONDUCTOR LASER AND ELECTROABSORPTIVE  
MODULATOR  
Application No.: 10/687,804  
Filed: 10/20/2003  
Art Unit: 2826  
Examiner: Minh-Loan Tran  
Docket No.: B-0306T

REPLY TO NON-FINAL ACTION

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Submitted: July 21, 2005

Dear Sir:

In response to the Office Action of 03/28/2005, please  
amend the above-identified application as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of  
claims which begins on page 6 of this paper.

**Remarks** begin on page 10 of this paper.

11/04/2005 SDIRETA1 00000001 200060 10687804

01 FC:1251 120.00 DA

# **FREE TRANSMITTAL** **for FY 2001**

Patent fees are subject to annual revision.

TO THE AMOUNT OF PAYMENT

(3) **810.00**

Complete if known

Application Number	N/A - 10/087804
Filing Date	10/20/03
First Named Inventor	Takahito SUZUKI
Examiner Name	N/A
Group Art Unit	N/A
Attorney Docket No.	B0306T

2ee

## **METHOD OF PAYMENT**

- ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  
 Deposit Account Number: **20-0060**  
 Deposit Account Name: **Takeuchi Patent Office**  
☒ Charge Any Additional Fee Required Under 37 CFR 1.10 and 1.17  
☐ Applicant claims small entity status, See 37 CFR 1.37
- ☒ Payment Enclosed:  
☒ Check ☐ Credit card ☐ Money Order ☐ Other

## **FEE CALCULATION**

### **1. BASIC FILING FEE**

Large Entity Fee Code (1)	Small Entity Fee Code (2)	Fee Description	Fee Paid
101 710 201 355	Utility filing fee		770
106 320 201 160	Design filing fee		
107 490 207 240	Plant filing fee		
108 710 200 355	Reissue filing fee		
114 150 214 75	Provisional filing fee		

SUBTOTAL (1) (3) **770**

### **2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from	Fee Paid
Independent	20** = 0	X	0
Multiple Dependent	3** = 0	X	0

Large Entity Fee Code (1)	Small Entity Fee Code (2)	Fee Description	Fee Paid
103 10 203 9	Claims in excess of 20		
102 00 202 40	Independent claims in excess of 3		
104 270 204 135	Multiple dependent claims, if not paid		
109 00 209 40	** Reissue independent claims over original patent		
110 10 210 9	** Reissue claims in excess of 20 and over original patent		

SUBTOTAL (2) (3) **0**

\*or number previously paid, if greater; For Reissues, see above

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

Large Entity Fee Code (1)	Small Entity Fee Code (2)	Fee Description	Fee Paid
105 130 205 05	Overcharge - late filing fee or entry		
127 60 227 25	Overcharge - late provisional filing fee or cover sheet		
130 130 130 130	Non-English specification		
147 2520 147 2520	For filing a request for on-patent reexamination		
112 920 112 920	Requesting publication of SII prior to Examiner action		
113 1040 113 1040	Requesting publication of SII after Examiner action		
116 110 216 55	Extension for reply within first month		
110 390 210 195	Extension for reply within second month		
117 090 217 445	Extension for reply within third month		
110 1300 210 650	Extension for reply within fourth month		
120 1000 220 500	Extension for reply within fifth month		
110 310 210 155	Notice of Appeal		
120 310 220 155	Filing a brief in support of an appeal		
121 270 221 135	Request for oral hearing		
130 1510 130 1510	Petition to institute a public use proceeding		
140 110 240 55	Petition to revive - unavailability		
141 1240 241 620	Petition to revive - withdrawal		
142 1240 242 620	Utility issue fee (or release)		
143 440 243 220	Design issue fee		
144 600 244 300	Plant issue fee		
122 130 122 130	Petitions to the Commissioner		
123 00 123 00	Processing fee under 37 CFR 1.17(a)		
126 100 126 100	Submission of information disclosure sheet		
501 40 501 40	Recording each patent and payment per property (three number of properties)		40
140 710 240 355	Filing a submission after final rejection (37 CFR 1.129(a))		
149 710 249 355	For each additional burden to be examined (37 CFR 1.129(b))		
179 710 270 355	Request for Continued Examination (RCE)		
109 900 109 900	Request for expedited examination of a design application		

Other fee (specify):

\*Reduced by Under Filing Fee Paid

SUBTOTAL (3) (3) **40**

## **SUBMITTED BY**

Name (Printed)	<b>Satoru Takeuchi</b>	Registration No. (Attorney/Agent)	<b>53,670</b>	Telephone	<b>703 519-9705</b>
Signature	<i>S. Takeuchi</i>	Date	<b>10/20/03</b>		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2030.**

Under How Statement: This form is submitted to take U.S. Patent to complete. This will vary depending upon the needs of the individual case. Any comments on the ground of this you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20503. FORM 101 (REVISED 10/2000) COMPLETELY FINISHED BY THIS OFFICE. REPLY: Reissue/Continuation for the United States Patent Office, Washington, DC 20503

000000 RICHARD 0000002 200060 10687804

FC:1253 1020.00 CR

Adjustment Date: 11/04/2005 SDIRETA1  
08/01/2005 RICHARD 00000001 200060 10687804  
01 FC:1253 1020.00 CR

Adjustment date: 11/04/2005 SDIRETA1  
08/01/2005 RICHARD 00000002 200060 10687804  
01 FC:1253 1020.00 CR

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